



Report title: Internal Audit Progress Report

To:	Cambridgeshire and Peterborough Combined Authority Audit and Governance Committee
Meeting Date:	6 April 2021
Public report:	Yes
Lead Member:	n/a
From:	Jon Alsop Chief Finance Officer
Key decision:	No
Forward Plan ref:	n/a
Recommendations:	The Committee is recommended to: a) note the internal audit progress report for 2020/21 as provided by the Combined Authority's internal auditors, RSM Risk Assurance Services LLP (RSM), b) consider the draft internal audit plan for 2021/22. Voting arrangements: A simple majority of all Members

1. Purpose

- 1.1 This paper is to provide an update to the work that RSM have conducted against the internal audit plan for 2020/21, and to consider their draft internal audit plan for 2021/22.

2. Background

- 2.1 At its November 2020 meeting, the Audit and Governance Committee approved the Internal Audit Strategy 2020/21 to 2024/25 and the 2020/21 internal audit plan as presented by RSM.
- 2.2 RSM then provided a first update report against that plan in January 2021. The attached report (at appendix 1) provides the second update to the approved plan.

- 2.3 RSM have issued two reports in draft form since the January update relating to the impact of COVID-19 on the delivery of projects, and to the appointments to Boards and Committees sponsored by the Combined Authority. Progress is also being made against reviews on financial controls (accounts payable) and on COVID-19 capital grants.
- 2.4 RSM have also prepared a draft 2021/22 internal audit plan (at appendix 2) which has been developed following discussions with members of the Combined Authority's Corporate Management Team.
- 2.5 The Audit and Governance Committee is asked to note progress made against the 2020/21 audit plan and to consider and provide comment on the draft audit plan for 2021/22.
- 2.6 Appendix 1, the 2020/21 update report, also includes a section on 'sector news' which has been provided by RSM for information.

Significant Implications

3. Financial Implications

- 3.1 Internal audit fees are within those agreed as part of the internal audit service contract.

4. Legal Implications

- 4.1 No legal implications have been identified.

5. Other Significant Implications

- 5.1 No other significant implications have been identified.

6. Appendices

- 6.1 Appendix 1 – Internal Audit update report 2020/21 (RSM)
- 6.2 Appendix 2 – Draft Internal Audit plan 2021/22 (RSM)

7. Background Papers

- 7.1 None.