



**CAMBRIDGESHIRE
& PETERBOROUGH**
COMBINED AUTHORITY

Agenda Item No: 7

Internal Audit Annual Report 2020/21

- To: Audit and Governance Committee
- Meeting Date: 30th July 2021
- Public report: An appendix to this report contains information which is exempt from publication under Part 1 of Schedule 12A of the Local Government Act 1972, as amended, and it would not be in the public interest for this information to be disclosed - Not for publication by virtue of Paragraphs 3 and 7 of Part 1 of Schedule 12A of the Local Government Act 1972
- From: Jon Alsop, Head of Finance and S73 Officer
- Key decision: Not a key decision
- Forward Plan ref: n/a
- Recommendations: The Audit and Governance Committee is recommended to:
- a) Note and receive the Internal Audit Annual Report for 2020/21

Voting arrangements: Note only item, no vote required.

1. Purpose

1.1. The purpose of the report is for the Audit and Governance Committee to:

- (a) Note and receive the Internal Audit Annual Report for 2020/21.

2. Background

2.1. The Chief Internal Auditor of a Local Authority is required annually to provide their opinion on the overall systems of internal control and their effectiveness.

The Annual Internal Audit Opinion

2.2. The Internal Audit Plan for 2020/21 as presented to the Audit and Governance Committee by the Combined Authority's internal auditors (RSM), in November 2020 stated that "As the provider of the internal audit service we are required to provide an annual opinion on the adequacy and effectiveness of the organisation's governance, risk management and control arrangements. The annual opinion will be provided to the organisation by RSM Risk Assurance Services LLP at the financial year end."

2.3. The annual opinion should be used to inform the organisation's annual governance statement.

2.4. The internal audit opinion for Cambridgeshire and Peterborough Combined Authority for 2020/21 is as follows:

2.5. "The organisation has an adequate and effective framework for risk management, governance and internal control. However, our work has identified further enhancements to the framework of risk management, governance and internal control to ensure that it remains adequate and effective."

2.6. The full report is attached at appendix 1. The attached report contains information which is exempt from publication under Part 1 of Schedule 12A of the Local Government Act 1972, as amended, and it would not be in the public interest for this information to be disclosed - Not for publication by virtue of Paragraph 7 of Part 1 of Schedule 12A of the Local Government Act 1972

3. Financial Implications

3.1. Internal audit fees are within those agreed as part of the internal audit service contract.

4. Legal Implications

4.1. No legal implications have been identified.

5. Other Significant Implications

5.1. No other significant implications have been identified.

6. Appendices

6.1. Appendix 1: The Internal Auditor's Annual report 2020/21

7. Background Papers

7.1. Outline Audit Plan – A&G Committee November 2020

[A&GC Nov 2020 Internal Audit Plan](#)